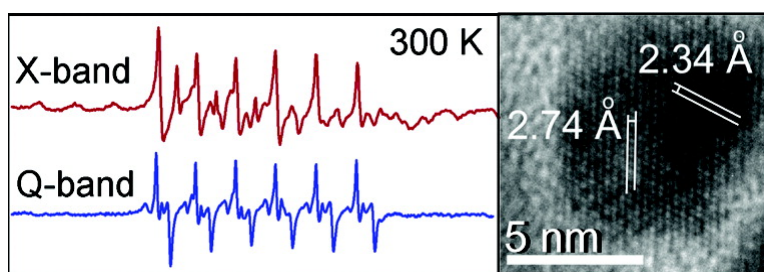


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Synthesis of Colloidal Mn²⁺:ZnO Quantum Dots and High-T_C Ferromagnetic Nanocrystalline Thin Films

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Abstract: We report the synthesis of colloidal Mn²⁺-doped ZnO (Mn²⁺:ZnO) quantum dots and the preparation of room-temperature ferromagnetic nanocrystalline thin films. Mn²⁺:ZnO nanocrystals were prepared by a hydrolysis and condensation reaction in DMSO under atmospheric conditions. Synthesis was monitored by electronic absorption and electron paramagnetic resonance (EPR) spectroscopies. Zn(OAc)₂ was found to strongly inhibit oxidation of Mn²⁺ by O₂, allowing the synthesis of Mn²⁺:ZnO to be performed aerobically. Mn²⁺ ions were removed from the surfaces of as-prepared nanocrystals using dodecylamine to yield high-quality internally doped Mn²⁺:ZnO colloids of nearly spherical shape and uniform diameter (6.1 ± 0.7 nm). Simulations of the highly resolved X- and Q-band nanocrystal EPR spectra, combined with quantitative analysis of magnetic susceptibilities, confirmed that the manganese is substitutionally incorporated into the ZnO nanocrystals as Mn²⁺ with very homogeneous speciation, differing from bulk Mn²⁺:ZnO only in the magnitude of *D*-strain. Robust ferromagnetism was observed in spin-coated thin films of the nanocrystals, with 300 K saturation moments as large as 1.35 μ_B/Mn²⁺ and T_C > 350 K. A distinct ferromagnetic resonance signal was observed in the EPR spectra of the ferromagnetic films. The occurrence of ferromagnetism in Mn²⁺:ZnO and its dependence on synthetic variables are discussed in the context of these and previous theoretical and experimental results.

I. Introduction

Diluted magnetic semiconductors (DMSs)¹ are attracting increasing attention in the physics community due to recent predictions^{2,3} and reports^{4–6} of room-temperature ferromagnetism in some of these materials. Ferromagnetic DMSs^{4–7} have been proposed as pivotal components in a new category of spin-based electronics (spintronics)^{8,9} devices that aim to control

electron spin currents as well as charge currents to increase data processing speeds, reduce power consumption, reduce hardware dimensions, and possibly introduce new functionalities to semiconductor information processing technologies.

Theoreticians have identified ZnO as an excellent candidate host semiconductor for supporting high-Curie-temperature (high-T_C) ferromagnetism when doped with a variety of 3d transition metal ions, particularly Mn²⁺.^{2,3} Experimentalists have verified these predictions in some cases, with ferromagnetism above room temperature reported for thin films of ZnO doped with Co²⁺,¹⁰ Co²⁺/Fe²⁺,¹¹ and V²⁺,¹² prepared by vacuum deposition methods such as pulsed laser deposition (PLD). These findings remain controversial, however, and several laboratories have claimed to observe ferromagnetism arising only from phase-segregated impurities and not from the DMSs themselves.^{13,14} Not until very recently was ferromagnetism above room temperature reported for Mn²⁺:ZnO,¹⁵ even though this DMS was specifically highlighted in theoretical studies for its high-T_C ferromagnetism potential.^{2,3} Many earlier studies of

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Mn²⁺:ZnO revealed only paramagnetism, or at best ferromagnetism below room temperature, with T_C ranging from 37 to 250 K, and many have low saturation moments indicative of only partial magnetic ordering.^{10,16–18} The wide range of magnetic properties displayed by this and other ZnO DMSs prepared by apparently similar methods^{10,19} suggests that the criteria necessary for ferromagnetism are highly sensitive to the preparation conditions and ultimately to the materials composition. Developing reproducible methods for preparing high- T_C ferromagnetic DMSs is essential for their use in spintronics technologies but remains a central challenge in this field. When this challenge is addressed, the understanding of the fundamental origins of this interesting magnetic behavior will also be advanced.

Although ferromagnetism in DMSs has attracted attention primarily from physicists, we envision chemistry as offering several advantages in this research area. We speculate that direct chemical syntheses of ZnO DMSs can provide better control over materials composition than is obtained with some high-temperature vacuum deposition and solid-state synthetic techniques, which often use dopant source materials that are themselves undesirable contaminants (e.g., manganese oxides for Mn²⁺:ZnO,^{15,17} NiO for Ni²⁺:ZnO,²⁰ and cobalt oxides or Co metal for Co²⁺:ZnO²¹) or require high temperatures and reducing conditions that may promote segregation of metallic precipitates.^{13,18} The advantage of the direct chemical approach is illustrated in the case of Ni²⁺:ZnO. The solid solubility of Ni²⁺ in ZnO is very low,²² and there is a large driving force for phase segregation. Ni²⁺:ZnO prepared by PLD using NiO as the source of Ni²⁺ showed a magnetic hysteresis only below 5 K²⁰ that resembled the characteristic magnetic behavior of nanoscale NiO,²³ raising concerns over the possibility of nanoscale NiO contaminants. In contrast, Ni²⁺:ZnO DMSs prepared from ionic solutions showed ferromagnetism above room temperature ($T_C > 350$ K) that was demonstrably an intrinsic property of the Ni²⁺:ZnO DMS.²⁴

In this paper we report the direct chemical synthesis of high-quality colloidal Mn²⁺:ZnO quantum dots (QDs) and the use of these nanocrystals as solution-phase precursors for the preparation of nanocrystalline thin films by spin-coat processing. Many proposed spintronics devices involve DMSs of nanometer dimensions,⁸ and solution syntheses provide the opportunity to study free-standing DMS crystals in this size regime. These

syntheses can be scaled up easily to produce gram quantities of the desired DMS, which greatly facilitates rapid exploration of the physical properties of these materials. Colloidal nanocrystals also have accessible surface chemistry that allows functionalization and processing in a variety of solvents, and this opens the door to potential building-block applications in nanotechnology, an area in which self-assembly is of increasing importance. Colloidal Mn²⁺-doped DMS nanocrystals of ZnS,²⁵ CdS,²⁶ ZnSe,²⁷ CdSe,²⁸ PbSe,²⁹ and InAs³⁰ have been reported previously. The synthesis and characterization of colloidal Co²⁺- and Ni²⁺-doped ZnO DMS-QDs^{24,31,32} and nanocrystalline Mn²⁺:ZnO powders prepared from solution³³ have also been described recently. While this paper was under review, a report of Mn²⁺-doped ZnO colloids appeared.³⁴ X-ray absorption and EPR spectroscopies were used to demonstrate that $\geq \sim 45\%$ of the manganese was segregated at the nanocrystal surfaces in this preparation.

The synthesis of Mn²⁺:ZnO nanocrystals in this study was monitored using electron paramagnetic resonance (EPR) and electronic absorption spectroscopies. A method for removing Mn²⁺ ions from the nanocrystal surfaces is presented, and its efficacy is demonstrated by EPR spectroscopy. Simulations of the experimental X- and Q-band EPR spectra confirm substitutional doping of the Mn²⁺ ions in ZnO with very homogeneous speciation and with bulk-like Mn²⁺ ground-state electronic structures. These colloidal DMS nanocrystals represent highly versatile solution-phase building blocks that are compatible with numerous standard solution processing methods. We report robust, high- T_C ferromagnetism in thin films of these nanocrystals prepared by spin-coating, with 300 K saturation moments as high as 1.35 μ_B/Mn^{2+} , nearly an order of magnitude higher than that previously reported.¹⁵ The Mn²⁺:ZnO ferromagnetism is accompanied by a broad ferromagnetic resonance EPR signal that reflects the high multiplicity of the ferromagnetic ground state. The preparation of more complex ferromagnetic semiconductor nanoarchitectures by solution processing methods may therefore be envisioned.

II. Experimental Section

A. Materials. Zinc acetate dihydrate (Zn(OAc)₂·2H₂O, 98%, <0.0005% magnetic impurities, Strem), manganese acetate tetrahydrate (Mn(OAc)₂·4H₂O, 99.999%, Strem), manganese nitrate hydrate (Mn(NO₃)₂·xH₂O, 98%, Sigma-Aldrich), tetramethylammonium hydroxide (N(CH₃)₄OH·5H₂O, 97%, Sigma-Aldrich), dodecylamine (CH₃(CH₂)₁₁NH₂, 98%, Sigma-Aldrich), trioctylphosphine oxide (TOPO, (CH₃(CH₂)₇)₃P(O), 90%, Sigma-Aldrich), dimethyl sulfoxide (DMSO, 99.7%, Acros), and absolute ethanol (AAPER) were purchased and used as received.

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B. Sample Preparation. Mn²⁺:ZnO nanocrystals were synthesized at room temperature by dropwise addition of 1.7 equiv of an ethanolic solution of 0.55 M tetramethylammonium hydroxide (N(Me)₄OH·5H₂O) to a 0.10 M solution of $x\text{Mn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}/(1-x)\text{Zn}(\text{OAc})_2 \cdot 2\text{H}_2\text{O}$ dissolved in DMSO under constant stirring. Following initial rapid growth from solution that proceeds on the time scale of minutes,³² growth of the nanocrystals continues by Ostwald ripening on the time scale of several days at room temperature or can be accelerated by heating the solution to ca. 60 °C. After growth, the Mn²⁺:ZnO nanocrystals were precipitated by addition of ethyl acetate and resuspended in ethanol. Iterative washing by precipitation with heptane and resuspension in ethanol ensures that excess reactants have been removed from the product. After being washed, the nanocrystals suspended in ethanol were capped with dodecylamine and resuspended in toluene. A sample in which Mn²⁺ ions were deliberately bound to the surfaces of ZnO nanocrystals was prepared by first synthesizing pure ZnO nanocrystals following the above procedure with no added Mn(OAc)₂. After the nanocrystals were washed and resuspended in ethanol, a small amount of Mn(OAc)₂ (ca. 2% of Zn²⁺) was mixed into the ZnO suspension, and 0.002 equiv of an ethanolic solution of LiOH was added dropwise. The resulting surface-bound Mn²⁺:ZnO nanocrystals were subsequently washed, capped with dodecylamine, and resuspended in toluene for EPR experiments.

To remove Mn²⁺ ions from the nanocrystal surfaces, dodecylamine-capped nanocrystals were heated in dodecylamine (mp ca. 30 °C) at 180 °C for ca. 30 min under nitrogen. The nanocrystals were allowed to cool to below 80 °C and were then precipitated and washed with ethanol. The resulting amine-treated powders were resuspended in toluene or other nonpolar solvents to form colloidal suspensions of high optical quality that are stable for several months. In some experiments, Mn²⁺ ions were removed from the nanocrystal surfaces by heating in technical grade trioctylphosphine oxide (TOPO) following a procedure described previously.³²

Nanocrystalline thin films of Mn²⁺:ZnO were prepared by spin-coating amine-treated Mn²⁺:ZnO colloids onto 1 cm × 0.5 cm fused-silica substrates. The films were annealed at 525 °C for 2 min in air after each spin-coated layer was added. Film A comprised 40 coats and had a mass of 0.59 mg. Films B and C were made from 20 coats each and had masses of 0.24 and 0.28 mg, respectively.

C. Physical Characterization. X-band (9.34 GHz) electron paramagnetic resonance (EPR) spectra were collected on a Bruker EMX EPR spectrometer at the University of Washington, and Q-band (34.0 GHz) EPR spectra were collected on a Bruker ESP300E EPR spectrometer at the Pacific Northwest National Laboratories. The X- and Q-band EPR data for the free-standing nanocrystals were recorded at room temperature on colloids suspended in toluene. Room-temperature X-band EPR spectra of thin films were measured by attaching the films to EPR tubes with nonmagnetic tape and aligning them perpendicular to the magnetic field in the EPR cavity. Simulations of the X- and Q-band EPR spectra were performed using a full-matrix diagonalization routine implemented by SIM (ver.2002), written and graciously provided by Prof. Høgni Weihe of the University of Copenhagen.

Absorption spectra of free-standing nanocrystals were measured at room temperature on colloids using 1 cm path length cuvettes using a Cary 500E (Varian) spectrophotometer. To observe both weak and intense absorption features during a base titration experiment, a portion of the sample was extracted from the reaction vessel, measured, diluted by a constant factor (70×), and then remeasured. Low-temperature MCD spectra were collected on drop-coated films (frozen solutions) as described previously.³² MCD intensities were measured as the differential absorbance $\Delta A = A_L - A_R$ (where L and R refer to left and right circularly polarized photons) and are reported as $\theta(\text{deg}) = 32.9\Delta A/A$. Colloid luminescence spectra were measured in 1 cm × 1 cm fluorescence cuvettes on a Jobin Yvon FluoroMax-2 fluorimeter.

Cu K α X-ray powder diffraction data were collected on a Philips PW 1830 X-ray diffractometer for powders and on a Rigaku Rotaflex

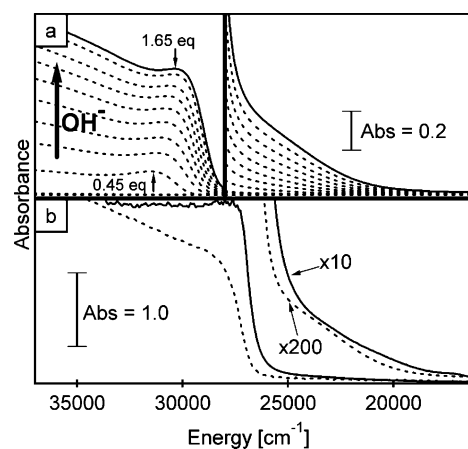


Figure 1. (a) 300 K absorption spectra of a 0.10 M DMSO solution of 2% Mn(OAc)₂/98% Zn(OAc)₂·2H₂O collected following successive additions of 0.15 equiv of 0.55 M N(Me)₄OH in ethanol. The solid line shows the data collected after 1.65 equiv of base was added. (b) 300 K absorption spectra of (- - -) colloidal 0.20% Mn²⁺:ZnO nanocrystals after treatment with dodecylamine and (-) a thin film prepared by spin-coating the same nanocrystals onto fused silica (film A). The thin-film absorbance reaches the stray light limit at ca. 27 000 cm⁻¹.

RTP300 diffractometer for thin films. High-resolution transmission electron microscopy (HRTEM) images were collected at the Pacific Northwest National Laboratories on a JEOL 2010 transmission electron microscope (200 kV) with a high-brightness LaB₆ filament as an electron source. Dopant concentrations were determined by inductively coupled plasma atomic emission spectrometry (ICP-AES, Jarrel Ash model 955).

Magnetic susceptibility data for Mn²⁺:ZnO nanocrystalline powders rapidly precipitated from toluene and for spin-coated thin films were collected using a Quantum Design MPMS-5S SQUID magnetometer. All data were corrected for the diamagnetism of the substrate and sample holder.

III. Results

Figure 1a shows electronic absorption spectra of a 0.10 M DMSO solution of 2% Mn(OAc)₂·4H₂O/98% Zn(OAc)₂·2H₂O collected following successive additions of 0.15 equiv of 0.55 M N(Me)₄OH in ethanol. An intense absorption feature appears at ca. 30 000 cm⁻¹ after addition of 0.45 equiv of base that is readily identified as the first excitonic band gap transition of ZnO.^{35,36} Further base addition yields an approximately linear increase in band gap absorbance. A sub-bandgap feature at 24 000 cm⁻¹ also appears after 0.45 equiv of base has been added, and its intensity grows with continued addition of base, giving the colloidal suspension a brown color. This sub-bandgap feature has been observed previously in other Mn²⁺:ZnO preparations.^{17,22,37,38} The solid line in Figure 1a shows the spectrum collected after 1.65 equiv of base was added. Base addition beyond ca. 1.65 equiv caused the suspensions to begin to cloud.

Figure 1b shows absorption spectra of 0.20% Mn²⁺:ZnO colloids after heating of the colloids in dodecylamine to remove Mn²⁺ ions from the nanocrystal surfaces (vide infra). These nanocrystals, prepared with an initial dopant level of 0.50% Mn²⁺, were found by ICP-AES to contain 0.20 ± 0.01% Mn²⁺

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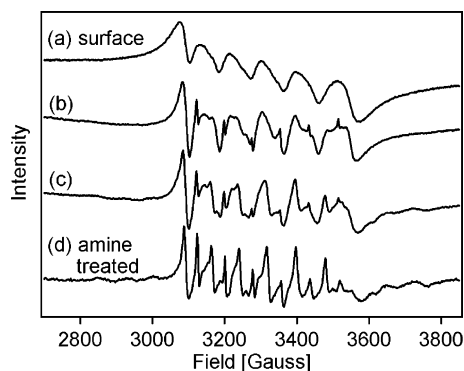


Figure 2. 300 K X-band EPR spectra of colloidal $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals. (a) Surface-bound $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals. Samples prepared from 0.02% $\text{Mn}^{2+}/99.98\%$ Zn^{2+} reaction solution collected (b) 10 min after base addition, (c) after 2 h of heating at 60 °C, and (d) after treatment with dodecylamine.

(i.e., $\text{Zn}_{0.998}\text{Mn}_{0.002}\text{O}$). Figure 1b also shows the absorption spectrum of a thin film prepared by spin-coating the same colloids onto a fused silica substrate. We refer to this film throughout this paper as film A. The band gap energy of the nanocrystalline thin film is similar to that of bulk ZnO (ca. $27\,000\text{ cm}^{-1}$ at 300 K).⁶ The band gap energy of the colloids determined by the same method is slightly larger, attributable to quantum confinement. The sub-bandgap absorption feature is observed in both the colloids and the thin film.

Figure 2 shows room-temperature X-band EPR spectra of colloidal $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals in toluene. Figure 2a is the spectrum of ZnO nanocrystals with Mn^{2+} ions deliberately bound to the surfaces. Figure 2b–d shows EPR spectra of aliquots removed from a reaction in which 1.7 equiv of 0.55 M $\text{N}(\text{Me})_4\text{OH}$ was added to a 0.10 M DMSO solution of 0.02% $\text{Mn}(\text{OAc})_2/99.98\%$ $\text{Zn}(\text{OAc})_2$. Samples for EPR measurements were removed from the reaction (b) 10 min after base addition at room temperature, (c) after nanocrystal growth was allowed for 2 h at 60 °C, and (d) after the nanocrystalline product was washed and heated in dodecylamine for 30 min at 180 °C. All samples were washed and capped with dodecylamine. The average nanocrystal diameters for (b) and (c) were estimated from the nanocrystal band gap absorption energies³⁶ to be 4.0 and 5.6 nm, respectively. All spectra show six main features, characteristic of the hyperfine coupling of Mn^{2+} ($I = 5/2$). The spectra evolve from having six broad signals with an apparent hyperfine splitting of ca. 88 G (Figure 2a) to showing extensive resolved fine structure with narrow features and an apparent hyperfine splitting of ca. 78 G for the major features (Figure 2d).

Figure 3a presents powder X-ray diffraction (XRD) data for the 0.20% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals shown in Figure 1b, precipitated rapidly from toluene (dashed line), and for film A (solid line), prepared by spin-coating the same nanocrystals onto fused silica. All of the peaks in the two diffraction patterns match those of wurtzite ZnO, shown as the indexed lines in the bottom of Figure 3a. TEM data collected for the same nanocrystals are shown in Figure 3b–d. The images in Figure 3b,d show approximately spherical and highly crystalline nanocrystals. The characteristic lattice spacings of wurtzite ZnO are readily identified in the high-resolution TEM images of these nanocrystals (Figure 3d). Analysis of ca. 100 nanocrystals (Figure 3c) yields an average crystal diameter of 6.1 ± 0.7 nm.

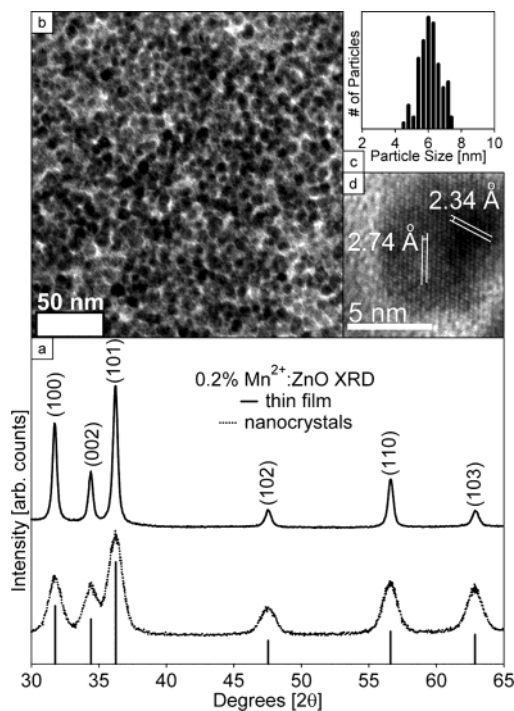


Figure 3. (a) Powder X-ray diffraction data: (---) 0.20% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals; (—) thin film prepared from the 0.20% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals. Peak positions for wurtzite ZnO are included for reference. (b) Overview TEM image of 0.20% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals. (c) Histogram of 100 crystal diameters (6.1 ± 0.7 nm average diameter). (d) High-resolution TEM image of a single nanocrystal showing lattice spacings matching those of ZnO.

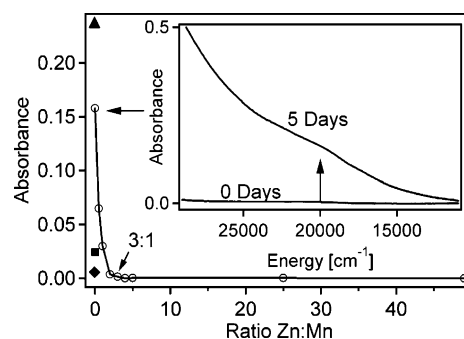


Figure 4. Absorption intensities of various solutions of 0.002 M $\text{Mn}(\text{OAc})_2$ in DMSO measured at $20\,000\text{ cm}^{-1}$ after 5 days in air. (○) Solutions with varying ratios of $\text{Zn}(\text{OAc})_2$ to $\text{Mn}(\text{OAc})_2$. (▲) A solution with a 5:1 ratio of $\text{Na}(\text{OAc})$ to $\text{Mn}(\text{OAc})_2$. (■) An anaerobic solution of $\text{Mn}(\text{OAc})_2$. (◆) A solution with 0.002 M $\text{Mn}(\text{NO}_3)_2$ instead of $\text{Mn}(\text{OAc})_2$. Inset: Absorption spectra of a DMSO solution of 0.002 M $\text{Mn}(\text{OAc})_2$ after mixing (0 days) and after 5 days in air.

Figure 4 shows absorption data collected for various solutions of 0.002 M $\text{Mn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ in DMSO that were allowed to stand open to the atmosphere for several days. This Mn^{2+} concentration is the same as that used for the synthesis of 2% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals. The inset shows the change in absorption of the 0.002 M $\text{Mn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ solution when the solution was exposed to air for 5 days. The solution turns brown, and a broad tailing absorption feature emerges. The open circles in Figure 4 show the absorption intensity at $20\,000\text{ cm}^{-1}$ after 5 days for solutions of 0.002 M $\text{Mn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ with varying amounts of $\text{Zn}(\text{OAc})_2 \cdot 2\text{H}_2\text{O}$ added. A sharp decrease in the formation of the brown absorption intensity is observed as the ratio of Zn^{2+} to Mn^{2+} increases, reaching almost zero at a ratio of 3 $\text{Zn}(\text{OAc})_2 \cdot 2\text{H}_2\text{O} : 1 \text{Mn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$. The absorption

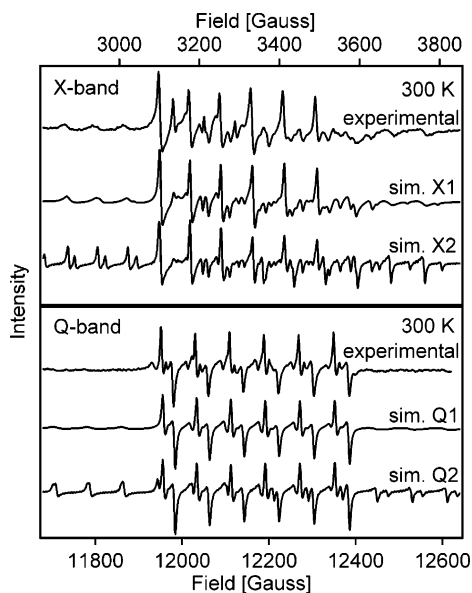


Figure 5. Experimental and simulated 300 K X- and Q-band EPR spectra of colloidal dodecylamine-capped 0.02% Mn²⁺:ZnO nanocrystals in toluene. Simulations with (X1 and Q1) and without (X2 and Q2) $\sigma = 2\%$ *D*-strain are included.

intensities measured for analogous 0.002 M Mn(OAc)₂·4H₂O solutions allowed to stand anaerobically (■) or in air with 0.010 M NaOAc added (▲) are also included in Figure 4. Addition of NaOAc causes 50% more absorption intensity after 5 days, whereas the anaerobic solution shows only a small increase in absorption after 5 days. When Mn(NO₃)₂·xH₂O (◆) is used in place of Mn(OAc)₂·4H₂O, little or no absorbance change is observed after 5 days in air.

Room-temperature X- and Q-band EPR spectra of surface-cleaned Mn²⁺:ZnO colloidal nanocrystals prepared from a 0.02% Mn(OAc)₂·4H₂O/99.98% Zn(OAc)₂·2H₂O solution as in Figure 2d are displayed in Figure 5. Both experimental spectra are highly resolved, exhibiting small peak widths for all features and clearly showing six major hyperfine lines. Many additional features are also present, however, including three outer peaks on either side of the main sextet in the X-band EPR spectrum.

Figure 6 shows 300 K absorption and 5 K MCD spectra of free-standing TOPO-capped 1.1% Mn²⁺:ZnO nanocrystals. The 5 K MCD spectrum shows a broad negative pseudo- \mathcal{A} term MCD feature associated with this sub-bandgap absorption that tails throughout the visible energy window (shown down to 16 000 cm⁻¹). The MCD intensity becomes negative again at 28 000 cm⁻¹ with the onset of the ZnO band edge. The pseudo- \mathcal{A} term MCD intensity increases with increasing applied field and approaches saturation above ca. 4 T (Figure 6, inset).

Figure 7 shows room-temperature absorption and luminescence spectra of ZnO, 0.13% Mn²⁺:ZnO (estimated concentration), and 1.3% Mn²⁺:ZnO colloidal nanocrystals capped with dodecylamine and suspended in toluene. All three samples were heated with dodecylamine following the procedure described above for removal of surface-bound Mn²⁺. All luminescence spectra were collected with excitation into the band gap region (28 200 cm⁻¹). The absorption intensities at the excitation energies, which ranged between 0.1 and 0.4 absorbance unit, were normalized in Figure 7, and the emission intensities were changed proportionally. The pure ZnO nanocrystals show a broad visible luminescence band centered at ca. 18 600 cm⁻¹

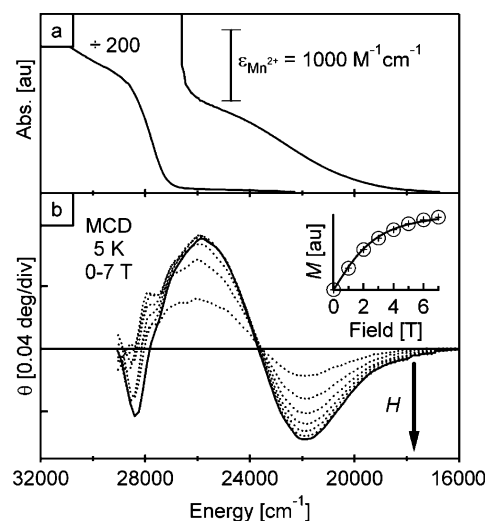


Figure 6. (a) 300 K absorption spectrum of colloidal TOPO-capped 1.1% Mn²⁺:ZnO nanocrystals. (b) Variable-field 5 K MCD spectra of the same nanocrystals, drop-coated onto quartz disks to form frozen solutions. The inset shows the 5 K MCD saturation magnetization probed at 21 900 cm⁻¹. The solid line shows the $S = 5/2$ saturation magnetization at 5 K predicted by the Brillouin function (eq 4).

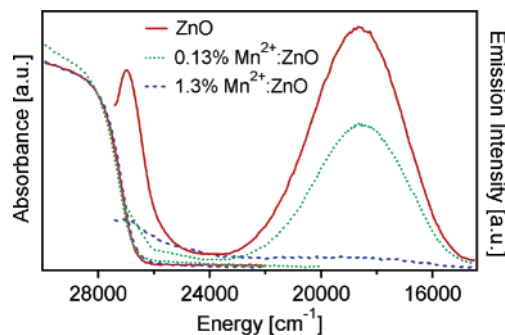


Figure 7. 300 K absorption and luminescence spectra of pure ZnO (—), 0.13% Mn²⁺:ZnO (estimated concentration) (···), and 1.3% Mn²⁺:ZnO (---) colloids.

and a relatively intense UV emission band at 26 900 cm⁻¹. The 0.13% Mn²⁺:ZnO colloids show a similar luminescence spectrum, but the visible and UV emission intensities have been reduced by 42 and 69%, respectively, relative to the pure ZnO nanocrystal spectrum. The visible emission in the 1.3% Mn²⁺:ZnO colloids is quenched by 96%, and they also do not show the same excitonic emission feature in the UV but show only a weak intensity that may arise from scattering.

Figure 8 shows the magnetic susceptibilities of free-standing 0.20 ± 0.01% Mn²⁺:ZnO nanocrystals and three thin films (films A, B, and C) prepared by spin-coating the 0.20% Mn²⁺:ZnO nanocrystals onto fused silica substrates. Film A is the same film shown in Figure 1b. The magnetization of the free-standing nanocrystals is linear with small applied fields (<1 T, or 10 000 Oe) at 5 K and is strongly temperature dependent. In sharp contrast, the thin films all show rapid magnetic saturation and clear magnetic hystereses at both low and high temperatures. For each film, a temperature- and field-dependent magnetization signal similar to that of the free-standing nanocrystals is observed superimposed on the nearly temperature-independent magnetic hysteresis. The 300 K saturation moments (M_S) for the three films are (A) 0.67, (B) 1.18, and (C) 1.35 μ_B/Mn^{2+} . The 300 K remanent magnetization (M_R) and coercivity (H_C) values are very similar for all three films, with

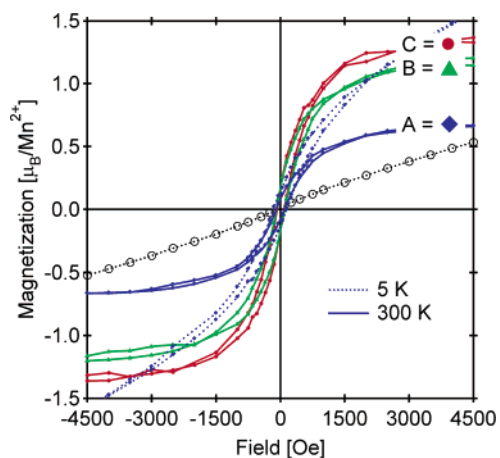


Figure 8. 5 K (···) and 300 K (—) magnetic susceptibilities of 0.20% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals (○) and thin films (◆, film A; ▲, film B; ●, film C).

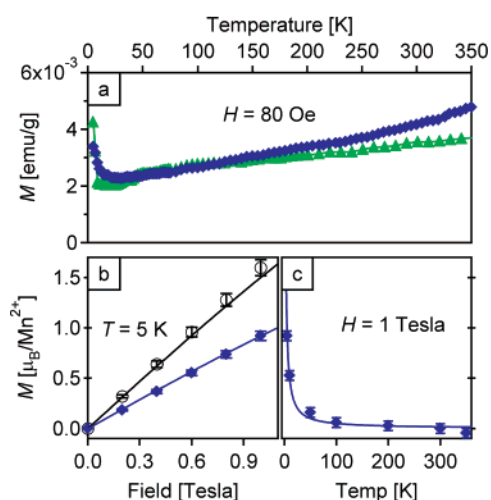


Figure 9. Magnetic susceptibility data for $0.20 \pm 0.01\%$ $\text{Mn}^{2+}:\text{ZnO}$. (a) Zero-field-cooled magnetization of films A (◆) and B (▲) with an applied magnetic field of 80 Oe. (b) 5 K field-dependent magnetization of free-standing nanocrystals (○) and of the residual paramagnetic magnetization of film A. (c) Temperature dependence of the residual paramagnetic magnetization of film A with $H = 1$ T. The solid lines in (b) and (c) show the Brillouin function calculated using $S = 5/2$ and $g = 1.999$ (eq 4, see text). The error bars represent the uncertainty in Mn^{2+} concentration.

averages of $0.12 \pm 0.01 M_S$, and 92 ± 7 Oe, respectively. The magnetic hysteresis parameters for films A, B, and C are plotted as a function of temperature in Figure S2 of the Supporting Information. All three hysteresis parameters decrease gradually as the temperature is increased from 5 K to the instrument limit of 350 K.

Zero-field-cooled (ZFC) magnetization measurements for films A and B from Figure 8 are shown in Figure 9a. Both films show a steep decrease in magnetization with increasing temperature between 5 and 10 K, followed by a gradual increase in magnetization between 10 and 350 K. Figure 9b shows the 5 K linear magnetization signal for film A, obtained by subtraction of the ferromagnetic signal from the 5 K data in Figure 8. These data are compared quantitatively to the data collected for the free-standing nanocrystals, shown in Figure 8. The linear magnetization signal of the thin film is 64% as large as that of the free-standing nanocrystals. Figure 9c plots the temperature dependence of the 1 T magnetization for film A, obtained by subtracting the ferromagnetic signals from the

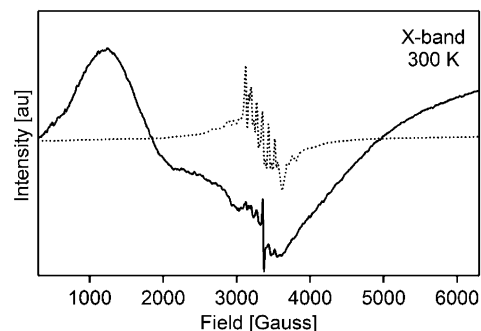


Figure 10. 300 K X-band EPR spectra. (—) Ferromagnetic 0.20% $\text{Mn}^{2+}:\text{ZnO}$ thin film (film A) from Figure 8. (···) The colloids from which film A was prepared, suspended in toluene.

data in Figure 8 and from analogous data collected at intermediate temperatures. These data show a strong decrease in magnetization with increasing temperature, reaching nearly zero at room temperature.

Figure 10 compares the 300 K X-band EPR spectra of film A and the free-standing 0.20% $\text{Mn}^{2+}:\text{ZnO}$ colloids used to make this film. Whereas the colloid spectrum shows extensive hyperfine structure between 3000 and 3600 G, the thin-film EPR spectrum shows an intense, broad resonance spanning the entire spectral range. Hyperfine structure similar to that of the colloid spectrum can be observed superimposed on the broad feature in the spectrum of the thin film. Additionally, a new, sharp resonance at $H = 3362$ G ($g = 2.00$) is observed in the thin film.

IV. Analysis and Discussion

A. Manganese Incorporation during Growth of ZnO Nanocrystals. The synthesis of Mn^{2+} -doped ZnO nanocrystals was monitored by electronic absorption spectroscopy. The absorption spectra in Figure 1 show the characteristic ZnO band gap absorbance at ca. $30\,000\text{ cm}^{-1}$ upon addition of base to the DMSO solution of Zn^{2+} , but only after 0.45 equiv of base has been added. This induction period reflects the buildup of Zn^{2+} precursor concentrations toward supersaturation prior to ZnO nucleation, as described by the LaMer model.³⁹ The precursors for ZnO nucleation under these conditions are believed to be basic zinc acetate clusters.^{35,40} After 0.45 equiv, subsequent base addition causes further nucleation of ZnO, and a stoichiometric increase in band gap absorbance intensity is observed (Figure 1). Similar results were obtained for the synthesis of Co^{2+} - and Ni^{2+} -doped ZnO nanocrystals.³²

In addition to the ZnO excitonic absorption, a sub-bandgap absorption feature at $24\,000\text{ cm}^{-1}$ is observed that also appears only after 0.45 equiv of base has been added; i.e., its appearance coincides with the appearance of ZnO. This sub-bandgap absorption feature is not observed in pure ZnO QDs synthesized under these conditions, and it is therefore attributed to the Mn^{2+} . The fact that its appearance accompanies ZnO formation provides a strong indication that the Mn^{2+} ions giving rise to this absorption intensity are associated with crystalline ZnO. This intensity is assigned as a charge-transfer transition (see section IV.F for analysis). Because the Mn^{2+} d–d transitions are extremely weak, it was not possible to extract as detailed

(39) LaMer, V. K.; Dinegar, R. H. *J. Am. Chem. Soc.* **1950**, *72*, 4847–4854.
(40) Tokumoto, M. S.; Pulcinelli, S. H.; Santilli, C. V.; Briois, V. *J. Phys. Chem. B* **2003**, *107*, 568–574.

information about the nucleation process from these titration experiments as was possible for Co²⁺:ZnO, where the Co²⁺ ligand-field absorption could be used to follow the reaction.³² Instead, EPR spectroscopy was used to probe the Mn²⁺ ions during synthesis.

The EPR spectra shown in Figure 2b–d were collected at different stages of nanocrystal synthesis under reaction conditions similar to those of Figure 1. They reveal the changing environment of the Mn²⁺ ions during the preparation. For reference, we have prepared pure ZnO quantum dots and then deliberately bound Mn²⁺ ions to their surfaces (i.e., 100% surface Mn²⁺). The resulting EPR spectrum is shown in Figure 2a. The breadth of the features in Figure 2a is attributed to the inhomogeneous Mn²⁺ speciation on the nanocrystal surfaces. The EPR spectrum of Mn²⁺:ZnO nanocrystals collected shortly after addition of base (Figure 2b) generally resembles that of surface-bound Mn²⁺:ZnO but shows improved resolution of the fine structure. The emergence of structure in this spectrum is attributed to partial incorporation of Mn²⁺ into the ZnO lattice. From the energy of the first excitonic absorption feature, the average nanocrystal diameter for this sample is estimated to be ca. 4.0 nm. At this size, even a statistical distribution of the dopants in the ZnO nanocrystals will result in a high proportion (ca. 25%) of the Mn²⁺ ions at the surfaces of the nanocrystals because of the large surface-to-volume ratios. The spectrum in Figure 2c was collected after the nanocrystals were allowed to Ostwald ripen at 60 °C for 2 h. An average nanocrystal diameter of ca. 5.6 nm is estimated from the red-shifted excitonic absorption spectrum of these colloids (ca. 20% surface). The EPR spectrum of Figure 2c is somewhat sharper and shows slightly better resolved hyperfine structure than that of Figure 2b, reflecting increased homogeneity in the Mn²⁺ speciation. The increased homogeneity thus qualitatively follows the decrease in the surface-to-volume ratio upon increasing the crystal diameters from 4.0 to 5.6 nm. The level of resolved hyperfine structure observed in Figure 2c is similar to that reported previously for calcined Mn²⁺:ZnO nanocrystals.³³

B. Removing Dopants from Nanocrystal Surfaces with Dodecylamine. The presence of surface Mn²⁺ ions lowers the quality of the DMS-QDs and may compromise or obfuscate their physical properties. We have previously demonstrated that Co²⁺ and Ni²⁺ ions on ZnO nanocrystal surfaces can be removed by heating the nanocrystals in technical grade TOPO.³² Here we report an improved procedure for removing surface-bound dopants that involves heating the quantum dots in a solution of dodecylamine at 180 °C for ca. 30 min. The dodecylamine ligates surface-exposed dopants and solvates them, leaving only internal dopants within the cores of the colloidal ZnO nanocrystals. The 300 K EPR spectrum of colloidal Mn²⁺:ZnO nanocrystals cleaned in this way (Figure 2d) shows exceptional resolution and rich fine structure. From the excellent agreement between this spectrum and that of bulk Mn²⁺:ZnO (see section IV.C), we conclude that the resulting nanocrystals contain solely internal Mn²⁺ ions with homogeneous speciation. These data emphasize the importance of removing surface-exposed dopants from DMS-QDs in order to ensure high-quality materials suitable for further study and application.

C. EPR of Surface-Cleaned Mn²⁺:ZnO Nanocrystals. The room-temperature X- and Q-band EPR spectra of the colloidal

0.02% Mn²⁺:ZnO nanocrystals (Figure 5) were simulated using the axial spin Hamiltonian given in eq 1.

$$H = g\mu_B \mathbf{H} \cdot \mathbf{S} + A \mathbf{S} \cdot \mathbf{I} + D \left[S_z^2 - \frac{1}{3} S(S+1) \right] \quad (1)$$

The first term describes the Zeeman interaction, the second term describes electron–nuclear magnetic hyperfine coupling (⁵⁵Mn nucleus, $I = 5/2$), and the third term accounts for the axial zero-field splitting caused by the hexagonal symmetry of wurtzite ZnO. The g and A tensors were approximated as isotropic in the simulations since the spectral anisotropy is unresolved in the powder-averaged EPR spectrum.⁴¹ Excellent quantitative agreement with the experimental X- and Q-band spectra could be achieved in simulations (simulations X1 and Q1) using a single set of parameters ($g = 1.999$, $A = -74.0 \times 10^{-4} \text{ cm}^{-1}$, and $D = (-2.36 \pm 0.05) \times 10^{-2} \text{ cm}^{-1}$). These parameters agree well with literature values ($g_{\parallel} = 1.998$, $g_{\perp} = 2.000$, $A_{\parallel} = -74.0 \times 10^{-4} \text{ cm}^{-1}$, $A_{\perp} = -73.5 \times 10^{-4} \text{ cm}^{-1}$, and $D = -2.36 \times 10^{-2} \text{ cm}^{-1}$) obtained from the study of single crystals of Mn²⁺:ZnO.^{42,43} These simulations confirm that the main hyperfine lines in the spectra are due to $\Delta M_S = \pm 1$ transitions having $\Delta M_I = 0$ and that the many additional lines arise from the formally forbidden resonances having $\Delta M_I \neq 0$, which gain intensity from off-diagonal terms that are probed in the powder-averaged spectrum.⁴² A small amount of D -strain ($\sigma = 2\%$ of D) was required for optimal simulation of the experimental data (simulations X1 and Q1). For comparison, simulations X2 and Q2 in Figure 5 do not include D -strain. Since D originates in the trigonal ligand-field perturbation of the Mn²⁺ in hexagonal (wurtzite) ZnO, the presence of D -strain reflects a greater range of trigonal distortions for the ensemble of Mn²⁺ ions in the nanocrystals than in bulk Mn²⁺:ZnO. This D -strain is thus attributable to lattice relaxation effects in the nanocrystals that are not present in bulk Mn²⁺:ZnO. In nanocrystalline Mn²⁺:ZnO, Mn²⁺ ions close to the surface of a nanocrystal will induce greater lattice distortion than those deep in the core because of the crystal's greater capacity to relax structurally near its surface. This D -strain is small (2%), however, and the close match between the simulated and experimental spectra confirms that the Mn²⁺ ions are substitutionally doped into the ZnO nanocrystals with very homogeneous speciation. We note that, except for the slight D -strain, the ground-state electronic structure of Mn²⁺ in ZnO nanocrystals is indistinguishable from that in bulk ZnO to a high degree of accuracy. This latter point does not support earlier claims that dopant–host electronic interactions may be dramatically enhanced in Mn²⁺ DMS nanocrystals and lead to large changes in the electronic structures of the Mn²⁺ ions,⁴⁴ although the DMS nanocrystals studied here are too large to be strongly quantum confined (section IV.D).

D. Structural Characterization of the Mn²⁺:ZnO Nanocrystals. In addition to solvating surface dopant ions, heating the nanocrystals in dodecylamine also induces further growth by Ostwald ripening. The absorption spectrum of amine-treated 0.20% Mn²⁺:ZnO nanocrystals in Figure 1b shows a band gap

(41) Abragam, A.; Bleaney, B. *Electron Paramagnetic Resonance of Transition Ions*; Dover Publications: Oxford, 1986.

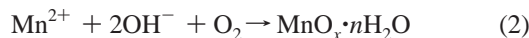
(42) Hausmann, A.; Huppertz, H. *J. Phys. Chem. Solids* **1968**, *29*, 1369–1375.

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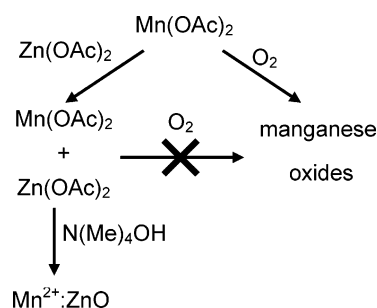
that is clearly smaller than that of the initially prepared QDs in DMSO shown in Figure 1a. The slight quantum confinement apparent from Figure 1b suggests that the nanocrystal diameters are between 6 and 7 nm (ZnO Bohr radius = 3.5 nm), although estimates of ZnO nanocrystal sizes by band gap measurements are not particularly reliable in this size range. Analysis of the broadened XRD peaks observed for these 0.20% Mn²⁺:ZnO nanocrystals (Figure 3a) using the Scherrer equation yields an average nanocrystal diameter of 6.1 nm, consistent with the absorption data. Similarly, TEM images of the same nanocrystals (Figure 3b–d) confirm the crystallinity and pseudo-spherical shapes of these crystals and yield an average crystal diameter of 6.1 ± 0.7 nm.

E. Zn(OAc)₂ Inhibition of Mn(OAc)₂ Oxidation in DMSO. Mn²⁺ is easily oxidized in air to form oxides such as Mn₂O₃ and Mn₃O₄, and identification of appropriate reducing conditions that allow the preparation of Mn²⁺-doped ZnO by high-temperature methods has been extensively investigated.⁴⁵ To maintain MnO as divalent Mn²⁺ at 900 °C, for example, O₂ pressures below 10⁻⁷ atm are required. Similarly, Mn²⁺ solutions are sensitive to oxidation in air at room temperature, and stock solutions of Mn(OAc)₂ in DMSO stored under air will turn from colorless to brown over a period of several days. Absorption spectra of a solution of 0.002 M Mn(OAc)₂·4H₂O in DMSO collected immediately after preparation and again after 5 days are shown in the inset of Figure 4. The brown color arises from a broad, tailing absorption feature having a shoulder at 20 000 cm⁻¹, clearly distinguishable from the substitutional Mn²⁺:ZnO sub-bandgap absorption at 24 000 cm⁻¹ (Figure 1). A control experiment in which a similar Mn²⁺ solution was prepared and stored anaerobically showed 84% less discoloration after 5 days (Figure 4, ■), verifying that the discoloration involves oxidation of Mn²⁺ by atmospheric O₂. Basic solutions of Mn²⁺ are known to convert readily to a variety of complex oxides and hydroxides under air,⁴⁶ such as described by eq 2, and many of these oxidation products are deeply colored.



During our studies of the synthesis of Mn²⁺:ZnO from solution, we observed that similar stock solutions of Mn²⁺ that also contained Zn(OAc)₂ do not change color in air after several days. This observation suggests that Zn(OAc)₂ inhibits the oxidation of Mn²⁺. Data collected for a range of Zn(OAc)₂·2H₂O concentrations (Figure 4) reveal that inhibition of Mn²⁺ oxidation is strongly dependent on the concentration of Zn(OAc)₂, with almost complete inhibition achieved at Zn:Mn stoichiometries as low as only ca. 3:1 (compared to the Mn²⁺:ZnO synthesis conditions of >49:1 used here). In other control experiments, 0.002 M Mn(NO₃)₂ was stored under air for 5 days and showed almost no color change (Figure 4, ◆), but addition of Na(OAc) to a 0.002 M Mn(OAc)₂ solution resulted in a substantial increase in discoloration (Figure 4, ▲), suggesting that the acetate actually promotes the oxidation of Mn²⁺. These data are consistent with the conclusion that the inhibition of Mn²⁺ oxidation by Zn(OAc)₂ is due to pH effects. Although acetate is a weak base, it is apparently able to

Scheme 1



accelerate the oxidation of Mn²⁺, which should be strongly pH dependent, as described by eq 2. Nitrate, an even weaker base, is not as capable of accelerating this reaction. Zn²⁺ has the opposite effect, decreasing the pH by lowering the pK_a of Zn²⁺-bound water molecules, and thereby inhibiting the Mn²⁺ oxidation reaction that would otherwise occur. When base is added to this mixture during the synthesis of Mn²⁺:ZnO nanocrystals, we also do not observe the appearance of an absorption shoulder at 20 000 cm⁻¹ (Figure 1), and it is reasonable to assume that the small amount of Mn²⁺ present (in comparison with Zn²⁺) is readily incorporated into basic zinc acetate clusters as they form, thereby prohibiting its coalescence into manganese-rich phases. Incorporation of Co²⁺ into basic zinc acetate clusters was also concluded from a combination of absorption spectra and nucleation inhibition data.³² The conclusion that manganese oxides are not formed is supported by the observation that all of the manganese present in the final product can be accounted for as paramagnetic $S = 5/2$ Mn²⁺ (section IV.H), whereas manganese-rich phases would show exchange-dominated magnetism. In summary, the formation of phase-segregated manganese oxides appears to be prevented very effectively by the high concentration of Zn(OAc)₂ also present in the reaction mixture. This inhibition allows high-quality Mn²⁺:ZnO to be synthesized under aerobic conditions without addition of reductants to combat manganese oxidation. These conclusions are summarized in Scheme 1.

F. Charge-Transfer Transitions in Mn²⁺:ZnO. The absorption spectra of Mn²⁺:ZnO colloids and thin films show only one feature in addition to the absorption of ZnO, namely a broad tailing sub-bandgap absorbance at ca. 24 000 cm⁻¹ (Figures 1 and 6). Although similar broad absorption occurs in many manganese oxides, this feature is unambiguously associated with magnetically dilute Mn²⁺ ions. This conclusion is drawn on the basis of the MCD spectroscopy of the free-standing Mn²⁺:ZnO nanocrystals shown in Figure 6, in which the broad absorption feature is seen to give rise to a similarly broad negative pseudo- \mathcal{A} term MCD signal centered at 23 600 cm⁻¹. The 5 K MCD intensity shows $S = 5/2$ saturation magnetization (Figure 6), demonstrating definitively that this intensity arises from an $S = 5/2$ chromophore and hence from magnetically isolated Mn²⁺ ions. The negative MCD signal to higher energy of the pseudo- \mathcal{A} signal is the onset of the first excitonic transition, which gains MCD intensity primarily through the covalency of the Mn²⁺-oxo bonds (p-d exchange).¹ The first excitonic transition is subject to so-called “giant Zeeman splittings”,¹ and the large shift in its energy with applied magnetic field results in nonsuperimposable MCD spectra at different fields in this energy region. Quantitative analysis of this Zeeman shift is currently being investigated and will be reported separately.

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Broad sub-bandgap absorption features similar to the one we observe have been reported previously for other Mn²⁺:ZnO preparations^{17,22,37,38} and have been assigned variously as Mn²⁺ ligand-field^{22,37,38} or charge-transfer (CT)¹⁷ transitions. Using the high-quality colloids prepared here, the molar extinction coefficient (per Mn²⁺) of this feature was determined accurately to be $\epsilon_{\text{Mn}^{2+}} = 950 \text{ M}^{-1} \text{ cm}^{-1}$ at 24 000 cm⁻¹ and 300 K. This sub-bandgap absorption feature is approximately 2 orders of magnitude more intense than could be reasonably expected for Mn²⁺ ligand-field transitions ($\epsilon_{\text{Mn}^{2+}}({}^6\text{A}_1 \rightarrow {}^4\text{T}_1(\text{G})) = 1\text{--}10 \text{ M}^{-1} \text{ cm}^{-1}$ in tetrahedral coordination complexes⁴⁷ and in ZnS⁴⁸). Furthermore, the 5 K MCD spectrum in this region is structureless (Figure 6), in contrast with what would be observed were this intensity to arise from the closely spaced ${}^6\text{A}_1 \rightarrow {}^4\text{T}_1(\text{G})$, ${}^4\text{T}_2(\text{G})$, ${}^4\text{A}_1(\text{G})$, ${}^4\text{E}(\text{G})$ series of Mn²⁺ ligand-field transitions expected to occur in this same energy region (see Supporting Information). Structured diffuse reflectance spectra in this energy region have been reported²² but only at relatively high Mn²⁺ concentrations where dimer exchange effects may contribute to relaxation of the spin selection rules, and similar structure was not observed in thin films prepared at lower Mn²⁺ concentrations.^{17,37,38} The intensity and band shape of this sub-bandgap absorption feature are thus inconsistent with its identification as Mn²⁺ ligand-field transitions and suggest it should be assigned as CT intensity. We consider two classes of CT transitions that may occur in Mn²⁺:ZnO. In one case, an electron may be promoted from the Mn²⁺ ion to ZnO-based acceptor orbitals of the conduction band (CB), and in the other case an electron may be promoted to the Mn²⁺ ion from ZnO-based donor orbitals of the valence band (VB). The state generated at the CT electronic origin is expected to involve a semiconductor electron (or hole) that is loosely bound to the charged impurity by Coulombic forces,⁴⁹ although possibly with a large effective radius. Treating the semiconductor nanocrystal as a ligand to the dopant ion, these transitions are formally analogous to metal-to-ligand CT (MLCT) and ligand-to-metal CT (LMCT) transitions. Increasing the excitation energy may be sufficient to induce photoionization, in which the electron or hole is in an unbound conduction or valence band level. Although binding energies for Mn²⁺ ions in II–VI semiconductors are not known, typical binding energies for the VB \rightarrow TM²⁺ CT excited states in Ni²⁺-doped II–VI semiconductors are ca. 250 cm⁻¹.⁴⁹

The intensity of the CT transition observed in the Mn²⁺:ZnO colloids of Figure 1b ($\epsilon_{\text{Mn}^{2+}} \approx 950 \text{ M}^{-1} \text{ cm}^{-1}$ at 24 000 cm⁻¹) is comparable to that of the acceptor transition in Ni²⁺:ZnO ($\epsilon_{\text{Ni}^{2+}} \approx 700 \text{ M}^{-1} \text{ cm}^{-1}$ at 23 100 cm⁻¹),^{32,50} suggesting that it may also be a VB \rightarrow TM²⁺ CT transition. Because CT absorption intensities scale with donor–acceptor covalency,⁵¹ and s–d (CB–Mn²⁺) hybridization is generally an order of magnitude smaller than p–d (VB–Mn²⁺) hybridization in II–VI DMSs,^{1,7} TM²⁺ \rightarrow CB CT intensities are expected to be considerably weaker than VB \rightarrow TM²⁺ CT transitions in the

absorption spectra of Mn²⁺:ZnO and other II–VI DMSs. The observed transition occurs much lower in energy than anticipated for a LMCT transition involving Mn²⁺, however, casting some uncertainty on this assignment.

Charge-transfer transition energies can be analyzed in the context of Jørgensen's optical electronegativity model,⁵² applied previously for analysis of similar broad features observed in the MCD and absorption spectra of Co²⁺:ZnO and Ni²⁺:ZnO DMSs.³² Following Jørgensen, eq 3 relates the energy of a CT transition, E_{CT} , to the donor (D) and acceptor (A) electronegativities (χ), taking into account differences in spin-pairing energies (SPE) between the ground and excited electronic states.^{47,52}

$$E_{\text{CT}} = 30\,000 \text{ cm}^{-1} [\chi_{\text{opt}}(\text{D}) - \chi_{\text{opt}}(\text{A})] + \Delta\text{SPE} \pm 10Dq \quad (3)$$

The ΔSPE values for Mn²⁺ acceptor ($d^5 \rightarrow d^6$) and donor ($d^5 \rightarrow d^4$) CT transitions are both $+28/9[(5/2)B + C]$,⁴⁷ where B is the Racah electron–electron repulsion parameter. Reliable ligand-field parameters are not available for Mn²⁺ in ZnO and in general are difficult to ascertain for Mn²⁺ since the energies of the observable ligand-field excited states depend largely on electron–electron repulsion magnitudes. For our analysis, we have estimated $Dq = 420 \text{ cm}^{-1}$, $B = 596 \text{ cm}^{-1}$, and $C/B = 6.5$ by extrapolation of ligand-field parameters determined previously for Mn²⁺, Co²⁺, and Ni²⁺ tetrahalide complexes⁴⁷ and Co²⁺- and Ni²⁺-doped ZnO⁵⁰ (see Supporting Information). Although Mn²⁺ can accept or donate electrons using either the e or t_2 d-orbitals, correction of eq 3 for the tetrahedral ligand-field splitting ($10Dq$) is likely necessary because in both cases the CT absorption intensity is dominated by Mn²⁺–ZnO covalency predominantly involving the t_2 d-orbitals. From absorption spectra of octahedral MnX₂ (X = Cl, Br), $\chi_{\text{opt}}(\text{Mn}^{2+}(\text{O}_h))$ has been estimated to be 1.45.⁵³ The value of $\chi_{\text{opt}}(\text{Mn}^{2+}(\text{T}_d))$ is expected to be slightly larger,⁴⁷ but for the current analysis it is sufficient to use the approximate value of 1.45. The optical electronegativities of the valence and conduction bands in pure ZnO have been estimated previously to be $\chi_{\text{opt}}(\text{CB}) \approx 1.1$ and $\chi_{\text{opt}}(\text{VB}) \approx 2.0$.⁵³ Using these values, solution of eq 3 yields predicted energies of $E_{\text{LMCT}} \approx 37\,400 \text{ cm}^{-1}$ and $E_{\text{MLCT}} \approx 23\,000 \text{ cm}^{-1}$. Using the relatively well defined electronegativities of tetrahedral Co²⁺ and Ni²⁺ ions, $\chi_{\text{opt}}(\text{VB}) \approx 2.4$ was determined from Ni²⁺:ZnO and Co²⁺:ZnO VB \rightarrow TM²⁺ CT transition energies,³² and with this value we predict $E_{\text{LMCT}} \approx 49\,400 \text{ cm}^{-1}$. The high energies predicted for the VB \rightarrow Mn²⁺ CT transition in Mn²⁺:ZnO are consistent with the absence of LMCT transitions in MnX₄²⁻ (X = Cl, Br) below 38 000 cm⁻¹.⁴⁷ Because of the high LMCT energies of these analogous tetrahedral complexes and those predicted for Mn²⁺ in ZnO, VB \rightarrow Mn²⁺ CT absorption intensity at 24 000 cm⁻¹ in Mn²⁺:ZnO appears improbable. Alternatively, the predicted TM²⁺ \rightarrow CB CT energy ($E_{\text{MLCT}} \approx 23\,000 \text{ cm}^{-1}$) is in reasonable agreement with the experimental energy (ca. 24 000 cm⁻¹, Figures 1 and 6), and we tentatively assign this absorption intensity as being due to a Mn²⁺ \rightarrow CB excitation. Interestingly, photocurrent action spectra of Mn²⁺:ZnO thin films reveal photocurrents generated with photon energies down to 14 500

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cm^{-1} .³⁸ This activity was attributed to Mn^{2+} ligand-field absorption but appears to arise from the same transitions observed in the spectra of Figure 6. The photocurrent action begins ca. $10\,000\text{ cm}^{-1}$ lower in energy than the first Mn^{2+} ligand-field excited state (${}^4\text{T}_1(\text{G})$), predicted at ca. $24\,900\text{ cm}^{-1}$ from the Tanabe–Sugano matrices using the ligand-field parameters listed above (see Supporting Information). The lower energy of the $\text{Mn}^{2+} \rightarrow \text{CB CT}$ excitation as compared to that of the ${}^4\text{T}_1(\text{G})$ Mn^{2+} ligand-field excitation has important consequences for the luminescence properties of $\text{Mn}^{2+}:\text{ZnO}$, as described in the following section (section IV.G).

G. Luminescence of $\text{Mn}^{2+}:\text{ZnO}$ Nanocrystals. ZnO nanocrystals are known for their characteristic green luminescence, which originates from $e^- - h^+$ recombination involving surface trap states.⁵⁴ Figure 7 shows the 300 K luminescence spectrum of undoped colloidal ZnO nanocrystals prepared by the same procedure that was used to make the Mn^{2+} -doped nanocrystals reported here. The green emission, which peaks at about $18\,600\text{ cm}^{-1}$, is the same as that reported previously.⁵⁴ In addition, a pronounced UV excitonic emission peak is observed from these colloids. The large ratio of UV:green emission in Figure 7 is not observed in TOPO-capped ZnO nanocrystals prepared by an analogous procedure³² or by hot injection,⁵⁵ and it therefore arises specifically from the use of dodecylamine as the capping ligand, presumably by surface passivation. A similar enhancement of UV emission was reported for ZnO colloids capped with poly(vinylpyrrolidone) ligands.⁵⁶

Mn^{2+} -doped II–VI semiconductor nanocrystals also commonly show emission from the $\text{Mn}^{2+} {}^4\text{T}_1(\text{G})$ ligand-field excited state. This emission has been observed in $\text{Mn}^{2+}:\text{ZnS}$,²⁵ $\text{Mn}^{2+}:\text{ZnSe}$,²⁷ and $\text{Mn}^{2+}:\text{CdS}$ ²⁶ quantum dots at about $17\,200\text{ cm}^{-1}$ (580 nm) with a considerably smaller bandwidth than the surface trap emission. The two $\text{Mn}^{2+}:\text{ZnO}$ nanocrystal samples in Figure 7 show no evidence of Mn^{2+} emission, however; they show only quenching of the ZnO excitonic and surface trap emission. The surface trap emission of the pure ZnO QDs is reduced by ca. 42% with 0.13% Mn^{2+} doping, while the excitonic emission is quenched to a slightly greater extent. At this Mn^{2+} concentration, each ca. 6.5 nm diameter nanocrystal contains an average of approximately eight Mn^{2+} ions (see Supporting Information for statistics). The observation of any ZnO surface trap emission at all in these doped nanocrystals therefore indicates that Mn^{2+} is not a particularly effective trap. Almost complete quenching of the surface trap emission is observed with the modest dopant concentration of 1.3% (Figure 7), however. In no instance was Mn^{2+} ligand-field emission observed. Quenching of the ZnO luminescence by Mn^{2+} and the lack of Mn^{2+} ligand-field emission in ZnO have both been noted in previous studies of bulk $\text{Mn}^{2+}:\text{ZnO}$.⁵⁷ These observations are explained by the conclusion drawn in section IV.F

that the threshold of the MLCT transition lies below the $\text{Mn}^{2+} {}^4\text{T}_1(\text{G})$ state in ZnO . This low-lying MLCT level would provide a pathway for nonradiative decay of the excited Mn^{2+} ions. The relatively localized wave functions of the surface trap and Mn^{2+} electronic states imply that direct quenching of surface trap emission by Mn^{2+} should proceed by Förster energy transfer, but the extremely low oscillator strengths of the Mn^{2+} visible absorption transitions indicate that Mn^{2+} dopants cannot quench surface traps effectively by this mechanism. Rather, it is likely that Mn^{2+} reduces surface trap emission by competing with surface states in trapping the ZnO excitonic excitation energy, a conclusion supported by the observation that excitonic emission is quenched somewhat more effectively than surface trap emission in the 0.13% $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals shown in Figure 7.

H. High- T_C Ferromagnetism in $\text{Mn}^{2+}:\text{ZnO}$ Thin Films. The EPR (Figure 5) and magnetic susceptibility (Figures 8 and 9b) data collected for the free-standing nanocrystals show only the paramagnetic phase of the DMS $\text{Mn}^{2+}:\text{ZnO}$. Neglecting the small zero-field splitting of the $\text{Mn}^{2+} {}^6\text{A}_1$ ground state ($|D| = 2.36 \times 10^{-2}\text{ cm}^{-1}$), the 5 K magnetization of free-standing $0.20 \pm 0.01\%$ $\text{Mn}^{2+}:\text{ZnO}$ nanocrystals can be reproduced quantitatively using the Brillouin function (eq 4)⁵⁸ and the experimental parameters determined from the EPR simulations discussed in section IV.C ($S = 5/2$ and $g = 1.999$), with no fitting.

$$M = \frac{1}{2}Ng\mu_B \left[(2S + 1) \coth \left((2S + 1) \left(\frac{g\mu_B H}{2kT} \right) \right) - \coth \left(\frac{g\mu_B H}{2kT} \right) \right] \quad (4)$$

Here, μ_B is the Bohr magneton and N represents the number of Mn^{2+} ions in the sample, derived from the experimental Mn^{2+} concentrations determined by ICP-AES. The predicted 5 K magnetization is superimposed on the experimental data for the free-standing nanocrystals in Figure 9b, and the two are identical within experimental error bars (arising from the error bars in Mn^{2+} concentration). The quantitative agreement between the experimental and calculated magnetization demonstrates that all of the manganese in these nanocrystals is accounted for as paramagnetic Mn^{2+} and there is little or no influence from antiferromagnetic superexchange interactions at this low dopant concentration. As mentioned in section IV.E, this result demonstrates that no significant phase segregation of manganese oxides has taken place during synthesis.

When these nanocrystals are spin-coated into thin films, their magnetic properties change dramatically. Films A, B, and C all exhibit robust ferromagnetism at room temperature (Figure 8), with relatively minor changes in hysteresis properties over the entire accessible temperature range ($\leq 350\text{ K}$, Figure S2). The 300 K saturation moment of $M_S = 1.35\ \mu_B/\text{Mn}^{2+}$ for film C exceeds the only other reported 300 K $\text{Mn}^{2+}:\text{ZnO}$ ferromagnetic saturation moment ($M_S = 0.16\ \mu_B/\text{Mn}^{2+}$)¹⁵ by nearly an order of magnitude and is similar to the 10 K M_S value reported recently for a manganese-doped GaAs thin film grown by molecular beam epitaxy and applied in a spin-LED device.⁹

The ferromagnetism probed by magnetic susceptibility (Figures 8 and 9) also manifests itself in the EPR spectroscopy of

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these thin films (Figure 10). The 300 K EPR spectrum of the free-standing 0.20% Mn²⁺:ZnO colloids used to make film A (Figure 10, dashed line) shows the sharp features centered at $g = 1.999$ analyzed in Figure 5 for nominally 0.02% Mn²⁺:ZnO nanocrystals. In contrast, the 300 K EPR spectrum of film A exhibits a broad resonance spanning the entire field range in Figure 10. This broad feature, a so-called ferromagnetic resonance (FMR) signal,⁵⁹ arises from transitions within the ground state of a ferromagnetic domain. Its breadth likely arises in part from the intrinsic anisotropy of the signal. For example, separations of as large as 5000 Oe are observed between parallel and perpendicular resonance fields in oriented manganese-doped GaAs thin films,⁵⁹ and such large anisotropies could result in broad spectra for powder samples. The breadth may also arise in part from the very high multiplicity of the ferromagnetic domain's ground state due to its high effective spin state (estimated from the 300 K saturation magnetization curvature in Figure 8 to exceed $S = 800$ on average). Finally, inhomogeneity in domain sizes may contribute to the breadth of the signal. A similar 300 K FMR signal was reported recently for nominally 2% Mn²⁺:ZnO bulk powders prepared by high-temperature solid-state fusion¹⁵ and is also observed in ferromagnetic Co²⁺:ZnO thin films prepared by MOCVD.⁶⁰

Using the high-quality colloidal Mn²⁺:ZnO nanocrystals as solution precursors for spin-coating ensures an even distribution of Mn²⁺ ions throughout the resulting Mn²⁺:ZnO thin film. XRD data collected for film A (Figure 3a) show narrower diffraction peaks than were observed for the paramagnetic nanocrystals. Analysis of the XRD peak widths using the Scherrer equation indicates an increase in effective crystal diameters from 6 to 20 nm, consistent with nanocrystal sintering. There is no evidence of any phase segregation in the XRD data, which show excellent signal-to-noise ratios, although this method would likely be too insensitive to detect phase segregation at such low manganese concentrations. The solid solubility of Mn²⁺ in ZnO is high, however, exceeding 10% at 525 °C and 1 kbar,²² and Mn²⁺ phase segregation during the brief annealing of these 0.20% Mn²⁺:ZnO nanocrystals at 525 °C is therefore considered extremely unlikely. ZFC magnetization data have been measured for films A and B, and the two data sets are nearly identical (Figure 9a). Both show increasing magnetization as the temperature is elevated, indicative of spontaneous cooperative magnetization. Notably, no magnetic phase transitions are observed in the ZFC data, confirming the absence of MnO or Mn₃O₄ phase-segregated impurities, nanocrystals of which exhibit magnetic phase transitions below ca. 45 K that show up as pronounced maxima in ZFC magnetization measurements.⁶¹

In addition to nearly temperature-independent ferromagnetism, the films show residual paramagnetism that follows Curie behavior in both field and temperature. The paramagnetic contribution in film A was quantified by subtracting the ferromagnetic signal from the 5 K data in Figure 8 (plotted in

Figure 9b) and from the 1 T data at various temperatures (plotted in Figure 9c). Both data sets were then fitted using the Brillouin function (eq 4) with only one floating parameter, N , the number of paramagnetic Mn²⁺ ions. The two data sets agree quantitatively and are best fit when $N = 0.64N_{\text{tot}}$, where N_{tot} is the total manganese content of the film ($0.20 \pm 0.01\%$). The paramagnetic moment per Mn²⁺ in film A has thus been reduced to ca. 64% of its value in the free-standing nanocrystals (Figure 9b), and the other 36% of the Mn²⁺ ions have undergone a magnetic phase transition. A lower limit for the number of Mn²⁺ ions participating in the ferromagnetic domains can be estimated from the ferromagnetic saturation moments by assuming a maximum moment of $5 \mu_{\text{B}}/\text{Mn}^{2+}$. With this approximation, 13%, 24%, and 27% of the Mn²⁺ ions are ferromagnetically aligned at 300 K for films A, B, and C, respectively. These numbers represent lower limits, because the apparent moments per Mn²⁺ will be reduced by the contributions of carriers, antiferromagnetic exchange interactions, or spin-glass behavior. We speculate, for example, that at least some Mn²⁺ ions are antiferromagnetically exchange-coupled to the ferromagnetic domains as observed previously in Ni²⁺:ZnO.²⁴

The EPR signal of paramagnetic Mn²⁺ in ZnO can be observed superimposed on the broad FMR signal of film A in Figure 10, consistent with the presence of substantial paramagnetic Mn²⁺ in these films as concluded from analysis of the magnetic susceptibility data (Figure 9). This paramagnetic EPR signal was not observed in the EPR spectrum of ferromagnetic Mn²⁺:ZnO reported previously,¹⁵ despite the fact that less than 4% of the manganese in those samples could be accounted for as ferromagnetic ($M_{\text{S}} = 0.16 \mu_{\text{B}}/\text{Mn}^{2+}$), leaving more than 96% of the manganese unaccounted for. The EPR signal of paramagnetic Mn²⁺ is exceptionally intense at room temperature, and its absence, combined with the weaker ferromagnetism in the material reported previously, may suggest that a substantial portion of the manganese in that material remained antiferromagnetically coupled within MnO₂ crystallites, since MnO₂ was the original source of manganese used in the synthesis. The stronger ferromagnetism observed in the films prepared from the colloidal doped nanocrystals, coupled with the observation of residual paramagnetic Mn²⁺, is likely the consequence of a more homogeneous distribution of substitutional Mn²⁺ dopants throughout the material.

Finally, a new, sharp resonance at $g = 2.00$ (3362 G) is observed in the EPR spectrum of the thin film (Figure 10) that was not present in the free-standing colloids. This sharp feature resembles a radical EPR signal and suggests that redox chemistry is occurring during preparation of the thin films. Ferromagnetism in ZnO DMSs is widely believed to be carrier mediated.^{2,3} Zener model calculations² and local-density-approximation density functional theory (LDA-DFT) calculations³ both predict that ferromagnetism in Mn²⁺:ZnO requires the presence of relatively large carrier concentrations ($p \approx 3.5 \times 10^{20} \text{ cm}^{-3}$). A sensitive dependence on carrier concentration would be consistent with the observation that Mn²⁺:ZnO prepared by similar methods can show substantially different magnetic properties^{10,15–18} (see also Supporting Information for data on additional films from this study). Importantly, the activating carriers in both models are p-type. In contrast with III–V DMSs such as Mn²⁺:GaAs, substitutional doping of TM²⁺ ions into ZnO does not itself generate p-type carriers, so

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these carriers must be introduced by other routes. The radical-like feature we observe in the EPR spectrum of the ferromagnetic Mn^{2+} :ZnO thin film suggests that redox chemistry during film preparation could possibly be the source of the required carriers. ZnO forms n-type defects under growth conditions very readily, however, and so it would be surprising if radical chemistry could introduce sufficient p-type defects in our films to explain our magnetic data within the existing theoretical models. Indeed, growth of p-type ZnO has been a long-standing challenge because of the ubiquity of compensating n-type defects.⁶² Only relatively recently was p-type ZnO successfully prepared,⁶³ by introducing NO, NH_3 , N_2 , or N_2O gases during ZnO growth to incorporate N heteroatoms at anion sites of the wurtzite lattice. One intriguing possibility is that calcination of the spin-coated dodecylamine-ligated Mn^{2+} :ZnO nanocrystals leaves behind nitrogen heteroatoms that serve as p-type defects by a process analogous to those reported previously.⁶³ Further investigation is needed to fully unravel the issues of magnetic ordering mechanism and carrier type in ferromagnetic Mn^{2+} :ZnO, but the possibility that the chemical identity of the capping ligand may play an important role when starting from nanocrystalline precursors exposes the exciting possibility that room-temperature magnetic ordering in Mn^{2+} :ZnO and related DMSs may ultimately be controlled, and hence understood, using chemical perturbations. Experiments exploring these subjects are currently underway.

V. Conclusion

We have demonstrated the preparation of high-quality colloidal Mn^{2+} -doped ZnO diluted magnetic semiconductor quantum dots by a direct solution chemical route. Segregation of dopant phases is a major concern in the synthesis of DMSs by any method because it may interfere with observation of the intrinsic properties of the target DMS. In many ZnO DMS preparations reported previously, methods have been used that may actually promote dopant segregation through high temperatures or reductive conditions, or through difficulties in controlling the form in which dopants are introduced. We have

demonstrated that it is possible to prepare colloidal Mn^{2+} :ZnO nanocrystals with extremely homogeneous dopant speciation, in which all of the Mn^{2+} is substitutionally doped within the cores of the nanocrystals and not on the crystal surfaces, by direct chemical synthesis from homogeneous solution in air at room temperature. Preparation of thin films by spin-coat processing using these colloids as solution precursors yields strongly ferromagnetic Mn^{2+} :ZnO thin films with Curie temperatures well above room temperature and with 300 K saturation moments up to $1.35 \mu_{\text{B}}/\text{Mn}^{2+}$, nearly 1 order of magnitude greater than the only previously reported room-temperature value for Mn^{2+} :ZnO.¹⁵ These results demonstrate the successful application of direct chemical routes to the preparation of a strongly ferromagnetic semiconductor that has been predicted to play an important role in the emerging field of spin-based electronics technologies. The insights gained from chemical experiments with this and other diluted magnetic semiconductors are expected to help guide the preparation of increasingly high-quality ferromagnetic semiconductors and improve the fundamental understanding of their interesting physical properties.

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Supporting Information Available: Three tables compiling literature data used to estimate ligand-field parameters and excited-state energies for Mn^{2+} in ZnO; three figures showing doping statistics for Mn^{2+} :ZnO nanocrystals, temperature dependence of magnetic hysteresis parameters for films A–C, and 300 K magnetic data for additional Mn^{2+} :ZnO nanocrystalline thin films. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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